

# ACH MoneyLink Form

ACH MoneyLink is a service provided by Axos Clearing LLC, connecting your bank accounts and investment accounts for better cash management. All bank links, except for IRA accounts, will be established with On Demand to allow for money movement in or out of your brokerage account. **Please complete all information, sign where indicated and return to your Financial Advisor.**

## STEP 1: ACCOUNT INFORMATION

Account Title (Name of this account)	Account Number
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## STEP 2: INSTRUCTION TYPE- Multiple bank links are permissible per brokerage account.

- ☐ Add New Instructions   
 ☐ Replace Existing Instructions   
 ADD NEW WILL ESTABLISH A FIRST BANK LINK OR CREATE MULTIPLE LINKS IF PRIOR INSTRUCTIONS EXIST. REPLACE WILL UPDATE OR CHANGE EXISTING INSTRUCTIONS

## STEP 3: TYPE OF REQUEST IF OTHER THAN ON DEMAND

- ☐ Dividends/Interest (income received will be transferred) (Select frequency)  
☐ Distributions – Periodic transfers to bank account (Frequency, amount & date required)  
☐ Contributions – Periodic transfers to brokerage account (Frequency, amount & date required)

A DISTRIBUTION REQUEST FORM IS REQUIRED FOR RETIREMENT ACCOUNTS

### FREQUENCY (SELECT ONE)

- ☐ Daily (D) – Dividends and Interest only   
 ☐ Bi-monthly (I) – occurs every other month  
☐ Weekly (W) – occurs every week   
 ☐ Quarterly (Q) – occurs every 3 months  
☐ Semi-Monthly (E) – occurs twice a month   
 ☐ Semi-Annually (S) – occurs twice a year  
☐ Monthly (M) – occurs every month   
 ☐ Annually (A) – occurs once a year

-DAILY IS ONLY AVAILABLE ON NON-IRA ACCOUNTS.  
 -PLEASE ALLOW 5 BUSINESS DAYS FOR ESTABLISHMENT OF NEW OR UPDATED MONEYLINK INSTRUCTIONS

AMOUNT	\$ Amount	Start Date:
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## STEP 4: BANK/CREDIT UNION ACCOUNT INFORMATION

Account Type: ☐ Checking ☐ Savings

ABA Number/Bank Routing Number	DDA Number / Bank Account Number
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**Voided Check:** Attach an original voided check here

Your Name		1111	
Your Address		Date _____ 20__	
Your City, State, Zip			
Pay to the order of _____		\$	
		Dollars	Ⓢ
For _____			
1:1234567891: 000123456 11		1111	
Routing Number		Account Number	

WE CANNOT ACCEPT STARTER CHECKS OR COUNTER CHECKS (OR CHECK NUMBERS BELOW 100).

IF A VOIDED CHECK IS NOT AVAILABLE, PLEASE ATTACH A LETTER FROM THE BANK, ON BANK LETTERHEAD CONFIRMING THE BANK ACCOUNT OWNERSHIP, ACCOUNT NUMBER AND ROUTING INFORMATION.

## SIGNATURES – ALL ACCOUNT HOLDERS MUST SIGN BELOW

Account Holder Signature ✕	Print Name	Date
Account Holder Signature ✕	Print Name	Date

-ALL REGISTERED OWNERS ON YOUR BROKERAGE ACCOUNT AND US BANK, CREDIT UNION OR OTHER FINANCIAL INSTITUTION ACCOUNT ARE REQUIRED TO SIGN THE SAME FORM.  
 -FOR BUSINESS AND TRUST ACCOUNTS, SEPARATE SUPPORTING DOCUMENTATION CONFIRMING THE SIGNATURE AUTHORITY FOR BOTH THE BROKERAGE AND BANK ACCOUNTS ARE REQUIRED.

## SIGNATURE –AUTHORIZED FIRM REPRESENTATIVE MUST SIGN BELOW

Authorized Firm Representative ✕	Print Name	Date
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# ACH Disclosure for Incoming/Withdrawals

185 Hudson Street – Suite 2500, Jersey City, NJ 07311  
Fax: 877-873-9656

AXOS Account Number: \_\_\_\_\_

## ACH AGREEMENT

To complete this transaction, please read and agree to the terms below.

### Rights of Revocation

You understand that using ACH services to facilitate your transaction(s) may be revoked and discontinued at any time. You can cancel any recurring transaction(s) by contacting your broker.

### Electronic Deposit and/or Withdrawal Instructions

You understand that all ACH transaction(s) must be completed through your broker. All ACH requests must be achieved through a phone call verification.

If E1 Asset Management customer service receives an email notice requesting an ACH transaction. In that case, E1 has the right not to process such a request until verbal communication is completed to verify customer identity.

### Guidelines and Restrictions

You understand the following acceptable deposits guidelines:

- An ACH transaction may be sent to a personal checking account titled exactly the same as the E1 Asset Management account.
- An ACH transaction from an Individual E1 Asset Management account may be deposited into a joint account if that party is one of the E1 Asset Management account owners.
- An ACH transaction from an individual or joint bank account may be deposited into an IRA belonging to either account owner.
- The minimum ACH transaction is \$10.

### You understand the following restrictions:

- Not all financial institutions participate in ACH transactions. Consult your bank to determine if they will approve an ACH transaction prior to acceptance of this agreement. An ACH Return Fee may be charged if the bank or financial institutions rejects an ACH transaction.
- The withdrawal amount cannot exceed the cash available for withdrawal in the account.
- If the account is in a margin call, a withdrawal cannot be made.
- NO 3rd Party transaction(s) is allowed.

I accept the terms and conditions of this ACH Agreement.



Account Owner's Signature:

Date:



Account Co-Owner's Signature:

Date:

☐ Please check if phone verification was completed. By: \_\_\_\_\_

Principal Signature:

Date: